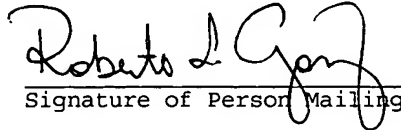


CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed herewith are being deposited with the United States Postal Service on this date September 18, 2003 in an envelope as "Express Mail Post Office to Addressee", Mailing Label Number EV 270962876 US addressed to the: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450

  
Signature of Person Mailing Paper

Roberto L. Gomez  
Name of Person Mailing Paper

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



23566  
PATENT TRADEMARK OFFICE

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

Inventors: Torrey M. Bievenour  
7 Shellbourne Lane, Fl. 2  
Stony Brook, New York 11790

Lawrence Lieberman  
12 Satterly Road  
East Setauket, New York 11733

For (title): OPTICALLY ACTIVE COLOR FILTER

**1. Type of Application**

This new application is an original application.

**2. Benefit of Prior U.S. Application**

This new application claims the benefit of a prior U.S. Provisional Patent Application No. 60/411,541 filed on September 18, 2002.

09/18/03



14230 U.S. PTO

22388 U.S. PTO  
10/664395



09/18/03

### 3. Papers Enclosed Herewith

The following papers required for a filing date under 37 CFR 1.53(b) are enclosed:

<u>15</u>	Pages of specification
<u>5</u>	Pages of claims
<u>1</u>	Page of abstract
<u>17</u>	Sheets of drawings

The following additional papers are enclosed:

<u>X</u>	Declaration and Power of Attorney
<u>    </u>	Assignment
<u>    </u>	Form PTO-1595
<u>X</u>	Notice of Address for Receipt of PTO Correspondence
<u>    </u>	Information Disclosure Statement
<u>    </u>	Form PTO-1449
<u>    </u>	Copies of Form PTO-1449 citations

### 4. Small Entity Status

Applicants claim small entity status (See 37 CFR §1.27)

### 5. Filing Fee Calculation

The filing fee (small entity) has been calculated as follows:

Basic fee		\$375
Total claims in excess of 20	(= 11) × \$9	\$99
Total independent claims in excess of 3	(= 4) × \$42	\$168
Multiple dependent claims	(= 0) × \$140	0
Basic Filing Fee Due:		\$642
		----

### 6. Fee Payment Being Made at This Time

<u>X</u>	Basic filing fee	\$642
<u>    </u>	Fee for recordation of assignment	
		----
Total Fees Enclosed:		\$642
		====

### 7. Method of Payment of Fees

<u>X</u>	A check drawn on Ostrager Chong & Flaherty LLP
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\_\_\_\_\_ in the amount of \$642 to cover the filing fee.  
\_\_\_\_\_ A check drawn on Ostrager Chong & Flaherty LLP  
\_\_\_\_\_ in the amount of \$40 to cover the recordation fee.  
\_\_\_\_\_ The Commissioner is hereby authorized to charge the fee  
\_\_\_\_\_ in the amount of \_\_\_\_\_ to Deposit Account No. 15-0699.

**8. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge any underpayment of fees required for the filing of this application, or credit any overpayment, to Deposit Account No. 15-0699.

The Commissioner is also hereby authorized to charge any underpayment of fees required to maintain the pendency of this application to Deposit Account No. 15-0699.

**9. Address for Receipt of Patent Office Correspondence**

All correspondence relating to this application should be sent to the following address:

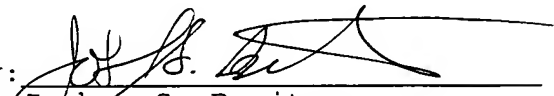
Joshua S. Broitman  
Ostrager Chong & Flaherty LLP  
825 Third Avenue  
New York, New York 10022-7519

**CUSTOMER NO. 23566**

Respectfully submitted,

OSTRAGER CHONG & FLAHERTY LLP  
Attorneys for Applicants

September 18, 2003  
Dated

By:   
Joshua S. Broitman  
Reg. No. 38,006

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